

Message Text

UNCLASSIFIED

PAGE 01 OTTAWA 00083 092254Z

64

ACTION EB-07

INFO OCT-01 EUR-12 ISO-00 L-02 COME-00 CIAE-00 INR-07

NSAE-00 RSC-01 TRSE-00 SEC-01 PRS-01 /032 W

----- 111445

R 092220Z JAN 75

FM AMEMBASSY OTTAWA

TO SECSTATE WASHDC 5359

UNCLAS OTTAWA 0083

E.O. 11652: N/A

TAGS: EFIN

SUBJ: FOREIGN ACCESS TO U.S. SECURITIES MARKET: REQUEST FOR
COMMENTS ON STANDARDS OF REGISTRATION UNDER THE
INVESTMENT COMPANY ACT OF 1940

REF: A-9155

1. UPON RECEIPT REFAIR, EMBASSY GAVE COPY OF SEC
PRESS RELEASE TO CARL WESTENHOLME, ACTING DIRECTOR,
CAPITAL MARKETS DIVISION, DEPARTMENT OF FINANCE, WITH
REQUEST THAT HE REVIEW MATERIAL AND DETERMINE WHETHER
HE OR SOMEONE ELSE IN THE GOC WAS THE APPROPRIATE
CONTACT POINT FOR SEC. WOSTENHOLME HAS FINALLY
REPLIED THAT WHILE HIS OFFICE HAS PRIME RESPONSIBILITY
FOR MATTERS CONCERNING CAPITAL MARKETS, "IN THIS
PARTICULAR CASE" THE PRIME RESPONSIBILITY LIES WITH
DEPARTMENT OF CONSUMER AND CORPORATE AFFAIRS. INDIVIDUAL
CONTACT FOR SEC IS MR. P. ANISMAN, DIRECTOR, CORPORATE
RESEARCH BRANCH, BUREAU OF CORPORATE AFFAIRS, DEPARTMENT
OF CONSUMER AND CORPORATE AFFAIRS, PLACE DU PORTAGE,
HLL, QUEBEC. (TEL. 613-997-1140).

2. ANISMAN HAS COPY OF PRESS RELEASE AND HAS INFORMED
EMBASSY THAT GOC WILL PROBABLY BE MAKING SOME COMMENTS.
HE SAID HE HAS ALREADY BEEN IN DIRECT CONTACT WITH SEC.
PORTER

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NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01 JAN 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: SECURITIES, FOREIGN INVESTMENT CONTROLS, INVESTMENT CO ACT OF 1940
Control Number: n/a
Copy: SINGLE
Draft Date: 09 JAN 1975
Decaption Date: 01 JAN 1960
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Authority: n/a
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 JAN 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1975OTTAWA00083
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Film Number: D750009-0781
From: OTTAWA
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1975/newtext/t19750166/aaaacfog.tel
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Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 1
Previous Channel Indicators: n/a
Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: 75 A-9155
Review Action: RELEASED, APPROVED
Review Authority: ShawDG
Review Comment: n/a
Review Content Flags:
Review Date: 03 APR 2003
Review Event:
Review Exemptions: n/a
Review History: RELEASED <03 APR 2003 by CastoMT>; APPROVED <17 FEB 2004 by ShawDG>
Review Markings:

Margaret P. Grafeld
Declassified/Released
US Department of State
EO Systematic Review
05 JUL 2006

Review Media Identifier:
Review Referrals: n/a
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
Secure: OPEN
Status: NATIVE
Subject: FOREIGN ACCESS TO U.S. SECURITIES MARKET: REQUEST FOR COMMENTS ON STANDARDS OF REGISTRATION UNDER THE
TAGS: EFIN, US
To: STATE
Type: TE
Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 05 JUL 2006